INFORMATION DISCLOSURE CITATION SERIAL NO.: ATTY. DOCKET NO.: -- 09/442,819 SCHC,002 P.T.O. FORM-1449 APPLICANT: (Use several sheets if necessary) Schmalenberger, Warren FILING DATE: Chuknown November 18, 1999 APR 2 4 2000 **U.S. PATENT DOCUMENTS** e : ENTATA FILING DATE **EXAMINER** IF APPROPRIATE SUBCLASS DOCUMENT NUMBER DATE NAME INITIAL 402 9/1992 4 8 3 6 Dembo 1

FOREIGN PATENT DOCUMENTS

ſ	DOCUMENT NUMBER			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				YES				NO	
٦									
Ì		+							
Ì									
Ì		1 1							
Ì		+ +	+	1					
ı		+	1				1		
-		++				 			
							*		1

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

85	Fama, Eugene F. and Merton Miller, <i>The Theory of Finance</i> . (New York: Holt, Rinehart and Winston, 1972), Chapter 7.
95	Lorie, James H. and Mary Hamilton, "Stock Market Indexes," <i>Modern Developments in Investment Management A Book of Readings.</i> Edited by James Lorie and Richard Brealey. (New York: Praeger Publishers, 1972.)
E .	Angel, James J., et al, "Comparison of two Low-Cost S&P 500 Index Funds," Derivatives Quarterly, Spring 1996.
Seriel Seriel	Sitch D3/10/6

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 509; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.